

**2015 IECC Commercial and Residential  
Utah State Amendments  
Draft Copy - 04/15/2016**

# 2015 IECC<sup>®</sup>

## INTERNATIONAL Energy Conservation Code<sup>®</sup>

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2015 International Energy Conservation Code®

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**C403.2.9 Duct and plenum insulation and sealing.** Supply and return air ducts and plenums shall be insulated with a minimum of R-6 insulation where located in unconditioned spaces and where located outside the building with a minimum of R-8 insulation in *Climate Zones 1 through 4* and a minimum of R-12 insulation in *Climate Zones 5 through 8*. Where located within a building envelope assembly, the duct or plenum shall be separated from the building exterior or unconditioned or exempt spaces by a minimum of R-8 insulation in *Climate Zones 1 through 4* and a minimum of R-12 insulation in *Climate Zones 5 through 8*.

**Exceptions:**

1. Where located within equipment.
2. Where the design temperature difference between the interior and exterior of the duct or plenum is not greater than 15°F (8°C).

Ducts, air handlers and filter boxes shall be sealed. Joints and seams shall comply with Section 603.9 of the *International Mechanical Code*.

**C403.2.9.1 Duct construction.** Ductwork shall be constructed and erected in accordance with the *International Mechanical Code*.

**C403.2.9.1.1 Low-pressure duct systems.** Longitudinal and transverse joints, seams and connections of supply and return ducts operating at a static pressure less than or equal to 2 inches water gauge (w.g.) (498 Pa) shall be securely fastened and sealed with welds, gaskets, mastics (adhesives), mastic-plus-embedded-fabric systems or tapes installed in accordance with the manufacturer's instructions. Pressure classifications specific to the duct system shall be clearly indicated on the construction documents in accordance with the *International Mechanical Code*.

**Exception:** Locking-type longitudinal joints and seams, other than the snap-lock and button-lock types, need not be sealed as specified in this section.

**C403.2.9.1.2 Medium-pressure duct systems.** Ducts and plenums designed to operate at a static pressure greater than 2 inches water gauge (w.g.) (498 Pa) but less than 3 inches w.g. (747 Pa) shall be insulated and sealed in accordance with Section C403.2.9. Pressure classifications specific to the duct system shall be clearly indicated on the construction documents in accordance with the *International Mechanical Code*.

**C403.2.9.1.3 High-pressure duct systems.** Ducts and plenums designed to operate at static pressures greater than 3 inches water gauge (747 Pa) shall be insulated and sealed in accordance with Section C403.2.8. In addition, ducts and plenums shall be leak tested in accordance with the *SMACNA HVAC Air Duct Leakage Test Manual* and shown to have a

rate of air leakage (CL) less than or equal to 4.0 as determined in accordance with Equation 4-8.

$$CL = F/P^{0.65} \quad \text{(Equation 4-8)}$$

where:

$F$  = The measured leakage rate in cfm per 100 square feet of duct surface.

$P$  = The static pressure of the test.

Documentation shall be furnished ~~by the designer~~ ← demonstrating that representative sections totaling at least 25 percent of the duct area have been tested and that all tested sections comply with the requirements of this section.

**C403.2.10 Piping insulation.** Piping serving as part of a heating or cooling system shall be thermally insulated in accordance with Table C403.2.10.

**Exceptions:**

1. Factory-installed piping within HVAC equipment tested and rated in accordance with a test procedure referenced by this code.
2. Factory-installed piping within room fan-coils and unit ventilators tested and rated according to AHRI 440 (except that the sampling and variation provisions of Section 6.5 shall not apply) and AHRI 840, respectively.
3. Piping that conveys fluids that have a design operating temperature range between 60°F (15°C) and 105°F (41°C).
4. Piping that conveys fluids that have not been heated or cooled through the use of fossil fuels or electric power.
5. Strainers, control valves, and balancing valves associated with piping 1 inch (25 mm) or less in diameter.
6. Direct buried piping that conveys fluids at or below 60°F (15°C).

**C403.2.11 Mechanical systems commissioning and completion requirements.** Mechanical systems shall be commissioned and completed in accordance with Section C408.2.

**C403.2.12 Air system design and control.** Each HVAC system having a total fan system motor nameplate horsepower (hp) exceeding 5 hp (3.7 kW) shall comply with the provisions of Sections C403.2.12.1 through C403.2.12.3.

**C403.2.12.1 Allowable fan floor horsepower.** Each HVAC system at fan system design conditions shall not exceed the allowable *fan system motor nameplate hp* (Option 1) or *fan system bhp* (Option 2) as shown in Table C403.2.12.1(1). This includes supply fans, exhaust fans, return/relief fans, and fan-powered terminal units associated with systems providing heating or cooling capability.

## CHAPTER 1 [RE]

# SCOPE AND ADMINISTRATION

### PART 1—SCOPE AND APPLICATION

#### SECTION R101 SCOPE AND GENERAL REQUIREMENTS

**R101.1 Title.** This code shall be known as the *International Energy Conservation Code* of [NAME OF JURISDICTION], and shall be cited as such. It is referred to herein as “this code.”

**R101.2 Scope.** This code applies to *residential buildings* and the building sites and associated systems and equipment.

**R101.3 Intent.** This code shall regulate the design and construction of buildings for the effective use and conservation of energy over the useful life of each building. This code is intended to provide flexibility to permit the use of innovative approaches and techniques to achieve this objective. This code is not intended to abridge safety, health or environmental requirements contained in other applicable codes or ordinances.

**R101.4 Applicability.** Where, in any specific case, different sections of this code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern.

\*

**R101.4.1 Mixed occupancy.** Where a building includes both *residential* and *commercial* occupancies, each occupancy shall be separately considered and meet the applicable provisions of the IECC—Commercial Provisions or IECC—Residential Provisions.

\*

**R101.5 Compliance.** *Residential buildings* shall meet the provisions of IECC—Residential Provisions. *Commercial buildings* shall meet the provisions of IECC—Commercial Provisions.

**R101.5.1 Compliance materials.** The *code official* shall be permitted to approve specific computer software, worksheets, compliance manuals and other similar materials that meet the intent of this code.

#### SECTION R102 ALTERNATIVE MATERIALS, DESIGN AND METHODS OF CONSTRUCTION AND EQUIPMENT

**R102.1 General.** The provisions of this code are not intended to prevent the installation of any material or to prohibit any design or method of construction not specifically prescribed by this code, provided that any such alternative has been approved. The *code official* shall be permitted to approve an alternative material, design or method of construction where the *code official* finds that the proposed design is satisfactory and complies with the intent of the provisions of this code, and that the material, method or work offered is, for the pur-

pose intended, at least the equivalent of that prescribed in this code.

**R102.1.1 Above code programs.** The *code official* or other authority having jurisdiction shall be permitted to deem a national, state or local energy-efficiency program to exceed the energy efficiency required by this code. Buildings *approved* in writing by such an energy-efficiency program shall be considered in compliance with this code. The requirements identified as “mandatory” in Chapter 4 shall be met.

### PART 2—ADMINISTRATION AND ENFORCEMENT

#### SECTION R103 CONSTRUCTION DOCUMENTS

**R103.1 General.** Construction documents, technical reports and other supporting data shall be submitted in one or more sets with each application for a permit. The construction documents and technical reports shall be prepared by a registered design professional where required by the statutes of the jurisdiction in which the project is to be constructed. Where special conditions exist, the *code official* is authorized to require necessary construction documents to be prepared by a registered design professional.

**Exception:** The *code official* is authorized to waive the requirements for construction documents or other supporting data if the *code official* determines they are not necessary to confirm compliance with this code.

**R103.2 Information on construction documents.** Construction documents shall be drawn to scale upon suitable material. Electronic media documents are permitted to be submitted where *approved* by the *code official*. Construction documents shall be of sufficient clarity to indicate the location, nature and extent of the work proposed, and show in sufficient detail pertinent data and features of the *building*, systems and equipment as herein governed. ~~Details shall include, but are not limited to, the following as applicable:~~

- ~~1. Insulation materials and their R-values.~~
- ~~2. Fenestration U-factors and solar heat gain coefficients (SHGC).~~
- ~~3. Area-weighted U-factor and solar heat gain coefficients (SHGC) calculations.~~
- ~~4. Mechanical system design criteria.~~
- ~~5. Mechanical and service water heating system and equipment types, sizes and efficiencies.~~
- ~~6. Equipment and system controls.~~
- ~~7. Duct sealing, duct and pipe insulation and location.~~
- ~~8. Air sealing details.~~

ADDED: Construction documents include all documentation required to be submitted in order to issue a building permit.

be determined in accordance with NFRC 200 by an accredited, independent laboratory, and *labeled* and certified by the manufacturer. Products lacking such a *labeled* SHGC or VT shall be assigned a default SHGC or VT from Table R303.1.3(3).

**TABLE R303.1.3(3)**  
**DEFAULT GLAZED FENESTRATION SHGC AND VT**

|      | SINGLE GLAZED |        | DOUBLE GLAZED |        | GLAZED BLOCK |
|------|---------------|--------|---------------|--------|--------------|
|      | Clear         | Tinted | Clear         | Tinted |              |
| SHGC | 0.8           | 0.7    | 0.7           | 0.6    | 0.6          |
| VT   | 0.6           | 0.3    | 0.6           | 0.3    | 0.6          |

**R303.1.4 Insulation product rating.** The thermal resistance (*R*-value) of insulation shall be determined in accordance with the U.S. Federal Trade Commission *R*-value rule (CFR Title 16, Part 460) in units of  $h \cdot ft^2 \cdot ^\circ F/Btu$  at a mean temperature of 75°F (24°C).

**R303.1.4.1 Insulated siding.** The thermal resistance (*R*-value) of insulated siding shall be determined in accordance with ASTM C 1363. Installation for testing shall be in accordance with the manufacturer's instructions.

**R303.2 Installation.** Materials, systems and equipment shall be installed in accordance with the manufacturer's instructions and the *International Building Code* or *International Residential Code*, as applicable.

**R303.2.1 Protection of exposed foundation insulation.** Insulation applied to the exterior of basement walls, crawl-space walls and the perimeter of slab-on-grade floors shall have a rigid, opaque and weather-resistant protective covering to prevent the degradation of the insulation's thermal performance. The protective covering shall cover the exposed exterior insulation and extend not less than 6 inches (153 mm) below grade.

**R303.3 Maintenance information.** Maintenance instructions shall be furnished for equipment and systems that require preventive maintenance. ~~Required regular maintenance actions shall be clearly stated and incorporated on a readily accessible label. The label shall include the title or publication number for the operation and maintenance manual for that particular model and type of product.~~

Phase-in added: "4. Compliance may be shown by demonstrating a result, using the software RESCheck 2012 Utah Energy Conservation Code, of:  
(a) on or after January 1, 2017, and before January 1, 2019, "3 percent better than code";  
(b) on or after January 1, 2019, and before January 1, 2021, "4 percent better than code"; and  
(c) after January 1, 2021, "5 percent better than code."".

## RESIDENTIAL ENERGY EFFICIENCY

### SECTION R401 GENERAL

**R401.1 Scope.** This chapter applies to residential buildings.

**R401.2 Compliance.** Projects shall comply with one of the following:

1. Sections R401 through R404.
2. Section R405 and the provisions of Sections R401 through R404 labeled "Mandatory."
3. An energy rating index (ERI) approach in Section R406.

**R401.2.1 Tropical zone.** Residential buildings in the tropical zone at elevations below 2,400 feet (731.5 m) above sea level shall be deemed to comply with this chapter where the following conditions are met:

1. Not more than one-half of the *occupied* space is air conditioned.
2. The *occupied* space is not heated.
3. Solar, wind or other renewable energy source supplies not less than 80 percent of the energy for service water heating.
4. Glazing in *conditioned* space has a *solar heat gain coefficient* of less than or equal to 0.40, or has an overhang with a projection factor equal to or greater than 0.30.
5. Permanently installed lighting is in accordance with Section R404.
6. The exterior roof surface complies with one of the options in Table C402.3 or the roof/ceiling has insulation with an *R-value* of R-15 or greater. If present, attics above the insulation are vented and attics below the insulation are unvented.
7. Roof surfaces have a minimum slope of  $\frac{1}{4}$  inch per foot of run. The finished roof does not have water accumulation areas.
8. Operable fenestration provides ventilation area equal to not less than 14 percent of the floor area in each room. Alternatively, equivalent ventilation is provided by a ventilation fan.
9. Bedrooms with exterior walls facing two different directions have operable fenestration or exterior walls facing two directions.
10. Interior doors to bedrooms are capable of being secured in the open position.
11. A ceiling fan or ceiling fan rough-in is provided for bedrooms and the largest space that is not used as a bedroom.

**R401.3 Certificate (Mandatory).** A permanent certificate shall be completed by the builder or registered design profes-

sional and posted on a wall in the space where the furnace is located, a utility room or an approved location inside the building. Where located on an electrical panel, the certificate shall not cover or obstruct the visibility of the circuit directory label, service disconnect label or other required labels. The certificate shall list the predominant *R-values* of insulation installed in or on ceiling/roof, walls, foundation (slab, basement wall, crawlspace wall and floor) and ducts outside conditioned spaces; *U-factors* for fenestration and the solar heat gain coefficient (SHGC) of fenestration, and the results from any required duct system and building envelope air leakage testing done on the building. Where there is more than one value for each component, the certificate shall list the value covering the largest area. The certificate shall list the types and efficiencies of heating, cooling and service water heating equipment. Where a gas-fired unvented room heater, electric furnace or baseboard electric heater is installed in the residence, the certificate shall list "gas-fired unvented room heater," "electric furnace" or "baseboard electric heater," as appropriate. An efficiency shall not be *listed* for gas-fired unvented room heaters, electric furnaces or electric baseboard heaters.

### SECTION R402 BUILDING THERMAL ENVELOPE

**R402.1 General (Prescriptive).** The *building thermal envelope* shall meet the requirements of Sections R402.1.1 through R402.1.5.

**Exception:** The following low-energy buildings, or portions thereof, separated from the remainder of the building by *building thermal envelope* assemblies complying with this section shall be exempt from the *building thermal envelope* provisions of Section R402.

1. Those with a peak design rate of energy usage less than  $3.4 \text{ Btu/h} \cdot \text{ft}^2$  ( $10.7 \text{ W/m}^2$ ) or  $1.0 \text{ watt/ft}^2$  of floor area for space-conditioning purposes.
2. Those that do not contain *conditioned space*.

**R402.1.1 Vapor retarder.** Wall assemblies in the *building thermal envelope* shall comply with the vapor retarder requirements of Section R702.7 of the *International Residential Code* or Section 1405.3 of the *International Building Code*, as applicable.

**R402.1.2 Insulation and fenestration criteria.** The *building thermal envelope* shall meet the requirements of Table R402.1.2, based on the climate zone specified in Chapter 3.

**R402.1.3 R-value computation.** Insulation material used in layers, such as framing cavity insulation, or continuous insulation shall be summed to compute the corresponding component *R-value*. The manufacturer's settled *R-value* shall be used for blown insulation. Computed *R-values*

**RESIDENTIAL ENERGY EFFICIENCY**

In the column entitled MASS WALL R-VALUE a new footnote j is added as follows: "j, Log walls complying with the ICC400 and with a minimum average wall thickness of 5" or greater shall be permitted in Zones 5-8 when overall window glazing is .31 U-factor or lower, minimum heating equipment efficiency is 90 AFUE (gas) or 84 AFUE (oil), and all other requirements are met."

shall not include an *R*-value for other building materials or air films. Where insulated siding is used for the purpose of complying with the continuous insulation requirements of Table R402.1.2, the manufacturer's labeled *R*-value for insulated siding shall be reduced by *R*-0.6.

**R402.1.4 U-factor alternative.** An assembly with a *U*-factor equal to or less than that specified in Table R402.1.4 shall be permitted as an alternative to the *R*-value in Table R402.1.2.

**R402.1.5 Total UA alternative.** If the total *building thermal envelope UA* (sum of *U*-factor times assembly area) is less than or equal to the total UA resulting from using the *U*-factors in Table R402.1.4 (multiplied by the same

assembly area as in the proposed building), the building shall be considered in compliance with Table R402.1.2. The UA calculation shall be done using a method consistent with the ASHRAE *Handbook of Fundamentals* and shall include the thermal bridging effects of framing materials. The SHGC requirements shall be met in addition to UA compliance.

**R402.2 Specific insulation requirements (Prescriptive).** In addition to the requirements of Section R402.1, insulation shall meet the specific requirements of Sections R402.2.1 through R402.2.13.

**R402.2.1 Ceilings with attic spaces.** Where Section R402.1.2 would require R-38 insulation in the ceiling,

**TABLE R402.1.2  
INSULATION AND FENESTRATION REQUIREMENTS BY COMPONENT<sup>a</sup>**

| CLIMATE ZONE    | FENESTRATION U-FACTOR <sup>b</sup> | SKYLIGHT <sup>b</sup> U-FACTOR | GLAZED FENESTRATION SHGC <sup>b,e</sup> | CEILING R-VALUE | WOOD FRAME WALL R-VALUE    | MASS WALL R-VALUE <sup>i</sup> | FLOOR R-VALUE   | BASEMENT <sup>c</sup> WALL R-VALUE | SLAB <sup>d</sup> R-VALUE & DEPTH | CRAWL SPACE <sup>c</sup> WALL R-VALUE |
|-----------------|------------------------------------|--------------------------------|---|-----------------|----------------------------|--------------------------------|-----------------|------------------------------------|-----------------------------------|---------------------------------------|
| 1               | NR                                 | 0.75                           | 0.25                                    | 30              | 13                         | 3/4                            | 13              | 0                                  | 0                                 | 0                                     |
| 2               | 0.40                               | 0.65                           | 0.25                                    | 38              | 13                         | 4/6                            | 13              | 0                                  | 0                                 | 0                                     |
| 3               | 0.35                               | 0.55                           | 0.25                                    | 38              | 20 or 13+5 <sup>h</sup>    | 8/13                           | 19              | 5/13 <sup>f</sup>                  | 0                                 | 5/13                                  |
| 4 except Marine | 0.35                               | 0.55                           | 0.40                                    | 49              | 20 or 13+5 <sup>h</sup>    | 8/13                           | 19              | 10/13                              | 10, 2 ft                          | 10/13                                 |
| 5 and Marine 4  | 0.32                               | 0.55                           | NR                                      | 49              | 20 or 13+5 <sup>h</sup>    | 13/17                          | 30 <sup>g</sup> | 15/19                              | 10, 2 ft                          | 15/19                                 |
| 6               | 0.32                               | 0.55                           | NR                                      | 49              | 20+5 or 13+10 <sup>h</sup> | 15/20                          | 30 <sup>g</sup> | 15/19                              | 10, 4 ft                          | 15/19                                 |
| 7 and 8         | 0.32                               | 0.55                           | NR                                      | 49              | 20+5 or 13+10 <sup>h</sup> | 19/21                          | 38 <sup>g</sup> | 15/19                              | 10, 4 ft                          | 15/19                                 |

For SI: 1 foot = 304.8 mm.

- a. *R*-values are minimums. *U*-factors and SHGC are maximums. When insulation is installed in a cavity which is less than the label or design thickness of the insulation, the installed *R*-value of the insulation shall not be less than the *R*-value specified in the table.
- b. The fenestration *U*-factor column excludes skylights. The SHGC column applies to all glazed fenestration. Exception: Skylights may be excluded from glazed fenestration SHGC requirements in climate zones 1 through 3 where the SHGC for such skylights does not exceed 0.30.
- c. "15/19" means R-15 continuous insulation on the interior or exterior of the home or R-19 cavity insulation at the interior of the basement wall. "15/19" shall be permitted to be met with R-13 cavity insulation on the interior of the basement wall plus R-5 continuous insulation on the interior or exterior of the home. "10/13" means R-10 continuous insulation on the interior or exterior of the home or R-13 cavity insulation at the interior of the basement wall.
- d. R-5 shall be added to the required slab edge *R*-values for heated slabs. Insulation depth shall be the depth of the footing or 2 feet, whichever is less in Climate Zones 1 through 3 for heated slabs.
- e. There are no SHGC requirements in the Marine Zone.
- f. Basement wall insulation is not required in warm-humid locations as defined by Figure R301.1 and Table R301.1.
- g. Or insulation sufficient to fill the framing cavity, R-19 minimum.
- h. The first value is cavity insulation, the second value is continuous insulation, so "13+5" means R-13 cavity insulation plus R-5 continuous insulation.
- i. The second *R*-value applies when more than half the insulation is on the interior of the mass wall.

**TABLE R402.1.4  
EQUIVALENT U-FACTORS<sup>a</sup>**

| CLIMATE ZONE    | FENESTRATION U-FACTOR | SKYLIGHT U-FACTOR | CEILING U-FACTOR | FRAME WALL U-FACTOR | MASS WALL U-FACTOR <sup>b</sup> | FLOOR U-FACTOR | BASEMENT WALL U-FACTOR | CRAWL SPACE WALL U-FACTOR |
|-----------------|-----------------------|-------------------|------------------|---------------------|---------------------------------|----------------|------------------------|---------------------------|
| 1               | 0.50                  | 0.75              | 0.035            | 0.084               | 0.197                           | 0.064          | 0.360                  | 0.477                     |
| 2               | 0.40                  | 0.65              | 0.030            | 0.084               | 0.165                           | 0.064          | 0.360                  | 0.477                     |
| 3               | 0.35                  | 0.55              | 0.030            | 0.060               | 0.098                           | 0.047          | 0.091 <sup>c</sup>     | 0.136                     |
| 4 except Marine | 0.35                  | 0.55              | 0.026            | 0.060               | 0.098                           | 0.047          | 0.059                  | 0.065                     |
| 5 and Marine 4  | 0.32                  | 0.55              | 0.026            | 0.060               | 0.082                           | 0.033          | 0.050                  | 0.055                     |
| 6               | 0.32                  | 0.55              | 0.026            | 0.045               | 0.060                           | 0.033          | 0.050                  | 0.055                     |
| 7 and 8         | 0.32                  | 0.55              | 0.026            | 0.045               | 0.057                           | 0.028          | 0.050                  | 0.055                     |

- a. Nonfenestration *U*-factors shall be obtained from measurement, calculation or an approved source.
- b. When more than half the insulation is on the interior, the mass wall *U*-factors shall be a maximum of 0.17 in Climate Zone 1, 0.14 in Climate Zone 2, 0.12 in Climate Zone 3, 0.087 in Climate Zone 4 except Marine, 0.065 in Climate Zone 5 and Marine 4, and 0.057 in Climate Zones 6 through 8.
- c. Basement wall *U*-factor of 0.360 in warm-humid locations as defined by Figure R301.1 and Table R301.1.

installing R-30 over 100 percent of the ceiling area requiring insulation shall be deemed to satisfy the requirement for R-38 wherever the full height of uncompressed R-30 insulation extends over the wall top plate at the eaves. Similarly, where Section R402.1.2 would require R-49 insulation in the ceiling, installing R-38 over 100 percent of the ceiling area requiring insulation shall be deemed to satisfy the requirement for R-49 insulation wherever the full height of uncompressed R-38 insulation extends over the wall top plate at the eaves. ~~This reduction shall not apply to the *U*-factor alternative approach in Section R402.1.4 and the total UA alternative in Section R402.1.5.~~

**R402.2.2 Ceilings without attic spaces.** Where Section R402.1.2 would require insulation levels above R-30 and the design of the roof/ceiling assembly does not allow sufficient space for the required insulation, the minimum required insulation for such roof/ceiling assemblies shall be R-30. This reduction of insulation from the requirements of Section R402.1.2 shall be limited to 500 square feet (46 m<sup>2</sup>) or 20 percent of the total insulated ceiling area, whichever is less. ~~This reduction shall not apply to the *U*-factor alternative approach in Section R402.1.4 and the total UA alternative in Section R402.1.5.~~

**R402.2.3 Eave baffle.** For air-permeable insulations in vented attics, a baffle shall be installed adjacent to soffit and eave vents. Baffles shall maintain an opening equal or greater than the size of the vent. The baffle shall extend over the top of the attic insulation. The baffle shall be permitted to be any solid material.

**R402.2.4 Access hatches and doors.** Access doors from conditioned spaces to unconditioned spaces such as attics and crawl spaces shall be weatherstripped and insulated to a level equivalent to the insulation on the surrounding surfaces. Access shall be provided to all equipment that prevents damaging or compressing the insulation. A wood-framed or equivalent baffle or retainer is required to be provided when loose-fill insulation is installed, the purpose of which is to prevent the loose-fill insulation from spilling into the living space when the attic access is opened, and to provide a permanent means of maintaining the installed *R*-value of the loose-fill insulation.

**Exception:** Vertical doors that provide access from conditioned to unconditioned spaces shall be permitted to meet the fenestration requirements of Table R402.1.2 based on the applicable climate zone specified in Chapter 3.

**R402.2.5 Mass walls.** Mass walls for the purposes of this chapter shall be considered above-grade walls of concrete block, concrete, insulated concrete form (ICF), masonry cavity, brick (other than brick veneer), earth (adobe, compressed earth block, rammed earth) and solid timber/logs, or any other walls having a heat capacity greater than or equal to 6 Btu/ft<sup>2</sup> × °F (123 kJ/m<sup>2</sup> × K).

**R402.2.6 Steel-frame ceilings, walls and floors.** Steel-frame ceilings, walls, and floors shall meet the insulation requirements of Table R402.2.6 or shall meet the *U*-factor requirements of Table R402.1.4. The calculation of

the *U*-factor for a steel-frame envelope assembly shall use a series-parallel path calculation method.

**R402.2.7 Walls with partial structural sheathing.** Where Section R402.1.2 would require continuous insulation on exterior walls and structural sheathing covers 40 percent or less of the gross area of all exterior walls, the continuous insulation *R*-value shall be permitted to be reduced by an amount necessary to result in a consistent total sheathing thickness, but not more than R-3, on areas of the walls covered by structural sheathing. This reduction shall not apply to the *U*-factor alternative approach in Section R402.1.4 and the total UA alternative in Section R402.1.5.

**TABLE R402.2.6**  
**STEEL-FRAME CEILING, WALL AND FLOOR INSULATION**  
**(*R*-VALUE)**

| WOOD FRAME<br><i>R</i> -VALUE<br>REQUIREMENT | COLD-FORMED STEEL<br>EQUIVALENT <i>R</i> -VALUE <sup>a</sup>                        |
|--|---|
| <b>Steel Truss Ceilings<sup>b</sup></b>      |   |
| R-30   | R-38 or R-30 + 3 or R-26 + 5  |
| R-38   | R-49 or R-38 + 3  |
| R-49   | R-38 + 5  |
| <b>Steel Joist Ceilings<sup>b</sup></b>      |   |
| R-30   | R-38 in 2 × 4 or 2 × 6 or 2 × 8 R-49<br>in any framing                              |
| R-38   | R-49 in 2 × 4 or 2 × 6 or 2 × 8 or 2 × 10   |
| <b>Steel-Framed Wall, 16" on center</b>      |   |
| R-13   | R-13 + 4.2 or R-19 + 2.1 or R-21 + 2.8 or<br>R-0 + 9.3 or R-15 + 3.8 or R-21 + 3.1  |
| R-13 + 3                                     | R-0 + 11.2 or R-13 + 6.1 or R-15 + 5.7 or<br>R-19 + 5.0 or R-21 + 4.7               |
| R-20   | R-0 + 14.0 or R-13 + 8.9 or R-15 + 8.5 or<br>R-19 + 7.8 or R-19 + 6.2 or R-21 + 7.5 |
| R-20 + 5                                     | R-13 + 12.7 or R-15 + 12.3 or R-19 + 11.6 or<br>R-21 + 11.3 or R-25 + 10.9          |
| R-21   | R-0 + 14.6 or R-13 + 9.5 or R-15 + 9.1 or<br>R-19 + 8.4 or R-21 + 8.1 or R-25 + 7.7 |
| <b>Steel Framed Wall, 24" on center</b>      |   |
| R-13   | R-0 + 9.3 or R-13 + 3.0 or R-15 + 2.4   |
| R-13 + 3                                     | R-0 + 11.2 or R-13 + 4.9 or R-15 + 4.3 or<br>R-19 + 3.5 or R-21 + 3.1               |
| R-20   | R-0 + 14.0 or R-13 + 7.7 or R-15 + 7.1 or<br>R-19 + 6.3 or R-21 + 5.9               |
| R-20 + 5                                     | R-13 + 11.5 or R-15 + 10.9 or R-19 + 10.1 or<br>R-21 + 9.7 or R-25 + 9.1            |
| R-21   | R-0 + 14.6 or R-13 + 8.3 or R-15 + 7.7 or<br>R-19 + 6.9 or R-21 + 6.5 or R-25 + 5.9 |
| <b>Steel Joist Floor</b>                     |   |
| R-13   | R-19 in 2 × 6, or R-19 + 6 in 2 × 8 or 2 × 10                                       |
| R-19   | R-19 + 6 in 2 × 6, or R-19 + 12 in 2 × 8 or 2 × 10                                  |

a Cavity insulation *R*-value is listed first, followed by continuous insulation *R*-value.

b Insulation exceeding the height of the framing shall cover the framing.

**R402.2.8 Floors.** Floor framing-cavity insulation shall be installed to maintain permanent contact with the underside of the subfloor decking.

**Exception:** The floor framing-cavity insulation shall be permitted to be in contact with the topside of sheathing or continuous insulation installed on the bottom side of floor framing where combined with insulation that meets or exceeds the minimum wood frame wall *R*-value in Table 402.1.2 and that extends from the bottom to the top of all perimeter floor framing members.

**R402.2.9 Basement walls.** Walls associated with conditioned basements shall be insulated from the top of the *basement wall* down to 10 feet (3048 mm) below grade or to the basement floor, whichever is less. Walls associated with unconditioned basements shall meet this requirement unless the floor overhead is insulated in accordance with Sections R402.1.2 and R402.2.8.

**R402.2.10 Slab-on-grade floors.** Slab-on-grade floors with a floor surface less than 12 inches (305 mm) below grade shall be insulated in accordance with Table R402.1.2. The insulation shall extend downward from the top of the slab on the outside or inside of the foundation wall. Insulation located below grade shall be extended the distance provided in Table R402.1.2 by any combination of vertical insulation, insulation extending under the slab or insulation extending out from the building. Insulation extending away from the building shall be protected by pavement or by not less than 10 inches (254 mm) of soil. The top edge of the insulation installed between the *exterior wall* and the edge of the interior slab shall be permitted to be cut at a 45-degree (0.79 rad) angle away from the *exterior wall*. Slab-edge insulation is not required in jurisdictions designated by the *code official* as having a very heavy termite infestation.

**R402.2.11 Crawl space walls.** As an alternative to insulating floors over crawl spaces, crawl space walls shall be permitted to be insulated when the crawl space is not vented to the outside. Crawl space wall insulation shall be permanently fastened to the wall and extend downward from the floor to the finished grade level and then vertically and/or horizontally for at least an additional 24 inches (610 mm). Exposed earth in unvented crawl space foundations shall be covered with a continuous Class I vapor retarder in accordance with the *International Building Code* or *International Residential Code*, as applicable. All joints of the vapor retarder shall overlap by 6 inches (153 mm) and be sealed or taped. The edges of the vapor retarder shall extend not less than 6 inches (153 mm) up the stem wall and shall be attached to the stem wall.

**R402.2.12 Masonry veneer.** Insulation shall not be required on the horizontal portion of the foundation that supports a masonry veneer.

**R402.2.13 Sunroom insulation.** *Sunrooms* enclosing conditioned space shall meet the insulation requirements of this code.

**Exception:** For *sunrooms* with *thermal isolation*, and enclosing conditioned space, the following exceptions to the insulation requirements of this code shall apply:

1. The minimum ceiling insulation *R*-values shall be R-19 in *Climate Zones* 1 through 4 and R-24 in *Climate Zones* 5 through 8.
2. The minimum wall *R*-value shall be R-13 in all *climate zones*. Walls separating a *sunroom* with a *thermal isolation* from *conditioned space* shall meet the *building thermal envelope* requirements of this code.

**R402.3 Fenestration (Prescriptive).** In addition to the requirements of Section R402, fenestration shall comply with Sections R402.3.1 through R402.3.6.

**R402.3.1 U-factor.** An area-weighted average of fenestration products shall be permitted to satisfy the *U*-factor requirements.

**R402.3.2 Glazed fenestration SHGC.** An area-weighted average of fenestration products more than 50-percent glazed shall be permitted to satisfy the SHGC requirements.

*Dynamic glazing* shall be permitted to satisfy the SHGC requirements of Table R402.1.2 provided the ratio of the higher to lower labeled SHGC is greater than or equal to 2.4, and the *dynamic glazing* is automatically controlled to modulate the amount of solar gain into the space in multiple steps. *Dynamic glazing* shall be considered separately from other fenestration, and area-weighted averaging with other fenestration that is not dynamic glazing shall not be permitted.

**Exception:** *Dynamic glazing* is not required to comply with this section when both the lower and higher labeled SHGC already comply with the requirements of Table R402.1.1.

**R402.3.3 Glazed fenestration exemption.** Up to 15 square feet (1.4 m<sup>2</sup>) of glazed fenestration per dwelling unit shall be permitted to be exempt from *U*-factor and SHGC requirements in Section R402.1.2. ~~This exemption shall not apply to the *U*-factor alternative approach in Section R402.1.4 and the Total UA alternative in Section R402.1.5.~~

**R402.3.4 Opaque door exemption.** One side-hinged opaque door assembly up to 24 square feet (2.22 m<sup>2</sup>) in area is exempted from the *U*-factor requirement in Section R402.1.4. ~~This exemption shall not apply to the *U*-factor alternative approach in Section R402.1.4 and the total UA alternative in Section R402.1.5.~~

**R402.3.5 Sunroom fenestration.** *Sunrooms* enclosing *conditioned space* shall meet the fenestration requirements of this code.

**Exception:** For *sunrooms* with *thermal isolation* and enclosing *conditioned space* in *Climate Zones* 2 through 8, the maximum fenestration *U*-factor shall be 0.45 and the maximum skylight *U*-factor shall be 0.70.

New fenestration separating the *sunroom* with *thermal isolation* from *conditioned space* shall meet the *building thermal envelope* requirements of this code.

3.5 ACH beginning Jan 1 2019;  
3 ACH beginning Jan 1 2021

ADDED: "Where allowed by the code official, the builder may certify compliance to components criteria for items which may not be inspected during regularly scheduled inspections."

**R402.4 Air leakage (Mandatory).** The *building thermal envelope* shall be constructed to limit air leakage in accordance with the requirements of Sections R402.4.1 through R402.4.4.

**R402.4.1 Building thermal envelope.** The *building thermal envelope* shall comply with Sections R402.4.1.1 **or** R402.4.1.2. The sealing methods between dissimilar materials shall allow for differential expansion and contraction.

**R402.4.1.1 Installation.** The components of the *building thermal envelope* as listed in Table R402.4.1.1 shall be installed in accordance with the manufacturer's instructions and the criteria listed in Table R402.4.1.1, as applicable to the method of construction. ~~Where required by the code official, an approved third party shall inspect all components and verify compliance.~~

**R402.4.1.2 Testing.** The building or dwelling unit shall be tested and verified as having an air leakage rate not exceeding **five** air changes per hour ~~in Climate Zones 1 and 2, and three air changes per hour in Climate Zones 3 through 8.~~ Testing shall be conducted in accordance with ASTM E 779 or ASTM E 1827 and reported at a pressure of 0.2 inch w.g. (50 Pascals). ~~Where required by the code official, testing shall be conducted by an approved third party.~~ A written report of the results of the test shall be signed by the party conducting the test and provided to the *code official*. Testing shall be performed at any time after creation of all penetrations of the *building thermal envelope*.

During testing:

1. Exterior windows and doors, fireplace and stove doors shall be closed, but not sealed, beyond the intended weatherstripping or other infiltration control measures.
2. Dampers including exhaust, intake, makeup air, backdraft and flue dampers shall be closed, but not sealed beyond intended infiltration control measures.
3. Interior doors, if installed at the time of the test, shall be open.
4. Exterior doors for continuous ventilation systems and heat recovery ventilators shall be closed and sealed.
5. Heating and cooling systems, if installed at the time of the test, shall be turned off.
6. Supply and return registers, if installed at the time of the test, shall be fully open.

**R402.4.2 Fireplaces.** New wood-burning fireplaces shall have tight-fitting flue dampers or doors, and outdoor combustion air. Where using tight-fitting doors on factory-built fireplaces listed and labeled in accordance with UL 127, the doors shall be tested and listed for the fireplace.

Where using tight-fitting doors on masonry fireplaces, the doors shall be listed and labeled in accordance with UL 907.

**R402.4.3 Fenestration air leakage.** Windows, skylights and sliding glass doors shall have an air infiltration rate of no more than 0.3 cfm per square foot (1.5 L/s/m<sup>2</sup>), and swinging doors no more than 0.5 cfm per square foot (2.6 L/s/m<sup>2</sup>), when tested according to NFRC 400 or AAMA/WDMA/CSA 101/I.S.2/A440 by an accredited, independent laboratory and *listed* and *labeled* by the manufacturer.

**Exception:** Site-built windows, skylights and doors.

**R402.4.4 Rooms containing fuel-burning appliances.** In Climate Zones 3 through 8, where open combustion air ducts provide combustion air to open combustion fuel burning appliances, the appliances and combustion air opening shall be located outside the building thermal envelope or enclosed in a room, isolated from inside the thermal envelope. Such rooms shall be sealed and insulated in accordance with the envelope requirements of Table R402.1.2, where the walls, floors and ceilings shall meet not less than the basement wall *R*-value requirement. The door into the room shall be fully gasketed and any water lines and ducts in the room insulated in accordance with Section R403. The combustion air duct shall be insulated where it passes through conditioned space to a minimum of R-8.

**Exceptions:**

1. Direct vent appliances with both intake and exhaust pipes installed continuous to the outside.
2. Fireplaces and stoves complying with Section R402.4.2 and Section R1006 of the *International Residential Code*.

**R402.4.5 Recessed lighting.** Recessed luminaires installed in the *building thermal envelope* shall be sealed to limit air leakage between conditioned and unconditioned spaces. All recessed luminaires shall be IC-rated and *labeled* as having an air leakage rate not more than 2.0 cfm (0.944 L/s) when tested in accordance with ASTM E 283 at a 1.57 psf (75 Pa) pressure differential. All recessed luminaires shall be sealed with a gasket or caulk between the housing and the interior wall or ceiling covering.

**R402.5 Maximum fenestration U-factor and SHGC (Mandatory).** The area-weighted average maximum fenestration *U*-factor permitted using tradeoffs from Section R402.1.5 or R405 shall be 0.48 in Climate Zones 4 and 5 and 0.40 in Climate Zones 6 through 8 for vertical fenestration, and 0.75 in Climate Zones 4 through 8 for skylights. The area-weighted average maximum fenestration SHGC permitted using tradeoffs from Section R405 in Climate Zones 1 through 3 shall be 0.50.

ADDED: "The following parties shall be approved to conduct testing: Parties certified by BPI or RESNET, or licensed contractors who have completed training provided by a Blower Door Test equipment manufacturer or comparable training."

## SECTION R403 SYSTEMS

**R403.1 Controls (Mandatory).** At least one thermostat shall be provided for each separate heating and cooling system.

**R403.1.1 Programmable thermostat.** The thermostat controlling the primary heating or cooling system of the dwelling unit shall be capable of controlling the heating and cooling system on a daily schedule to maintain different temperature set points at different times of the day. This thermostat shall include the capability to set back or temporarily operate the system to maintain *zone* temperatures down to 55°F (13°C) or up to 85°F (29°C). The thermostat shall initially be programmed by the manufacturer with a heating temperature set point no higher than 70°F (21°C) and a cooling temperature set point no lower than 78°F (26°C).

**R403.1.2 Heat pump supplementary heat (Mandatory).** Heat pumps having supplementary electric-resistance heat shall have controls that, except during defrost, prevent supplemental heat operation when the heat pump compressor can meet the heating load.

**R403.2 Hot water boiler outdoor temperature setback.** Hot water boilers that supply heat to the building through one- or two-pipe heating systems shall have an outdoor setback control that lowers the boiler water temperature based on the outdoor temperature.

**R403.3 Ducts.** Ducts and air handlers shall be in accordance with Sections R403.3.1 through R403.3.5.

**R403.3.1 Insulation (Prescriptive).** Supply and return ducts in attics shall be insulated to a minimum of R-8 where 3 inches (76 mm) in diameter and greater and R-6 where less than 3 inches (76 mm) in diameter. Supply and return ducts in other portions of the building shall be insulated to a minimum of R-6 where 3 inches (76 mm) in diameter or greater and R-4.2 where less than 3 inches (76 mm) in diameter.

**Exception:** Ducts or portions thereof located completely inside the *building thermal envelope*.

**R403.3.2 Sealing (Mandatory).** Ducts, air handlers and filter boxes shall be sealed. Joints and seams shall comply with either the *International Mechanical Code* or *International Residential Code*, as applicable.

### Exceptions:

1. Air-impermeable spray foam products shall be permitted to be applied without additional joint seals.
2. For ducts having a static pressure classification of less than 2 inches of water column (500 Pa), additional closure systems shall not be required for continuously welded joints and seams, and locking-type joints and seams of other than the snap-lock and button-lock types.

**R403.3.2.1 Sealed air handler.** Air handlers shall have a manufacturer's designation for an air leakage of no more than 2 percent of the design air flow rate when tested in accordance with ASHRAE 193.

**R403.3.3 Duct testing (Mandatory).** Ducts shall be pressure tested to determine air leakage by one of the following methods:

1. Rough-in test: Total leakage shall be measured with a pressure differential of 0.1 inch w.g. (25 Pa) across the system, including the manufacturer's air handler enclosure if installed at the time of the test. All registers shall be taped or otherwise sealed during the test.
2. Postconstruction test: Total leakage shall be measured with a pressure differential of 0.1 inch w.g. (25 Pa) across the entire system, including the manufacturer's air handler enclosure. Registers shall be taped or otherwise sealed during the test.

**Exception:** A duct air leakage test shall not be required where 65% of ducts and air handlers are located entirely within the building thermal envelope.

Phase in: 65% beginning Jan 1, 2017; 75% beginning Jan 1, 2019; 80% beginning Jan 1, 2021

A written report of the results of the test shall be signed by the party conducting the test and provided to the code official.

**R403.3.4 Duct leak** of the ducts, where

ADDED: "The following parties shall be approved to conduct testing: Parties certified by BPI or RESNET, or licensed contractors who have completed training provided by a Duct Test equipment manufacturer or comparable training."

R403.3.3, shall be as follows:

1. Rough-in test: The total leakage shall be less than or equal to 8 cubic feet per minute (170 L/min) per 100 square feet (9.29 m<sup>2</sup>) of conditioned floor area where the air handler is installed at the time of the test. Where the air handler is not installed at the time of the test, the total leakage shall be less than or equal to 6 cubic feet per minute (114.6 L/min) per 100 square feet (9.29 m<sup>2</sup>) of conditioned floor area.
2. Postconstruction test: Total leakage shall be less than or equal to 8 cubic feet per minute (226.5 L/min) per 100 square feet (9.29 m<sup>2</sup>) of conditioned floor area.

Phase in: 8CFM (226.5 L/min) beginning Jan 1, 2017; 7 CFM (198.2 L/min) beginning Jan 1, 2019; 6 CFM (169.9 L/min) beginning Jan 1, 2021

**R403.3.5 Building**

ing cavities shall not be used as ducts or plenums.

**R403.4 Mechanical system piping insulation (Mandatory).** Mechanical system piping capable of carrying fluids above 105°F (41°C) or below 55°F (13°C) shall be insulated to a minimum of R-3.

**R403.4.1 Protection of piping insulation.** Piping insulation exposed to weather shall be protected from damage, including that caused by sunlight, moisture, equipment maintenance and wind, and shall provide shielding from solar radiation that can cause degradation of the material. Adhesive tape shall not be permitted.

**R403.5 Service hot water systems.** Energy conservation measures for service hot water systems shall be in accordance with Sections R403.5.1 and R403.5.4.

**R403.5.1 Heated water circulation and temperature maintenance systems (Mandatory).** Heated water circulation systems shall be in accordance with Section R403.5.1.1. Heat trace temperature maintenance systems shall be in accordance with Section R403.5.1.2. Automatic

controls, temperature sensors and pumps shall be accessible. Manual controls shall be readily accessible.

**R403.5.1.1 Circulation systems.** Heated water circulation systems shall be provided with a circulation pump. The system return pipe shall be a dedicated return pipe or a cold water supply pipe. Gravity and thermosiphon circulation systems shall be prohibited. Controls for circulating hot water system pumps shall start the pump based on the identification of a demand for hot water within the occupancy. The controls shall automatically turn off the pump when the water in the circulation loop is at the desired temperature and when there is no demand for hot water.

**R403.5.1.2 Heat trace systems.** Electric heat trace systems shall comply with IEEE 515.1 or UL 515. Controls for such systems shall automatically adjust the energy input to the heat tracing to maintain the desired water temperature in the piping in accordance with the times when heated water is used in the occupancy.

**R403.5.2 Demand recirculation systems.** A water distribution system having one or more recirculation pumps that pump water from a heated water supply pipe back to the heated water source through a cold water supply pipe shall be a *demand recirculation water system*. Pumps shall have controls that comply with both of the following:

1. The control shall start the pump upon receiving a signal from the action of a user of a fixture or appliance, sensing the presence of a user of a fixture or sensing the flow of hot or tempered water to a fixture fitting or appliance.
2. The control shall limit the temperature of the water entering the cold water piping to 104°F (40°C).

**R403.5.3 Hot water pipe insulation (Prescriptive).** Insulation for hot water pipe with a minimum thermal resistance (*R*-value) of R-3 shall be applied to the following:

1. Piping  $\frac{3}{4}$  inch (19.1 mm) and larger in nominal diameter.
2. Piping serving more than one dwelling unit.
3. Piping located outside the conditioned space.
4. Piping from the water heater to a distribution manifold.

→ ~~5. Piping located under a floor slab.~~

6. Buried in piping.

7. Supply and return piping in recirculation systems other than demand recirculation systems.

**R403.5.4 Drain water heat recovery units.** Drain water heat recovery units shall comply with CSA B55.2. Drain water heat recovery units shall be tested in accordance with CSA B55.1. Potable water-side pressure loss of drain water heat recovery units shall be less than 3 psi (20.7 kPa) for individual units connected to one or two showers. Potable water-side pressure loss of drain water heat recovery units shall be less than 2 psi (13.8 kPa) for individual units connected to three or more showers.

**R403.6 Mechanical ventilation (Mandatory).** The building shall be provided with ventilation that meets the requirements of the *International Residential Code* or *International Mechanical Code*, as applicable, or with other approved means of ventilation. Outdoor air intakes and exhausts shall have automatic or gravity dampers that close when the ventilation system is not operating.

**R403.6.1 Whole-house mechanical ventilation system fan efficacy.** Mechanical ventilation system fans shall meet the efficacy requirements of Table R403.6.1.

**Exception:** Where mechanical ventilation fans are integral to tested and listed HVAC equipment, they shall be powered by an electronically commutated motor.

**R403.7 Equipment sizing and efficiency rating (Mandatory).** Heating and cooling equipment shall be sized in accordance with ACCA Manual S based on building loads calculated in accordance with ACCA Manual J or other *approved* heating and cooling calculation methodologies. New or replacement heating and cooling equipment shall have an efficiency rating equal to or greater than the minimum required by federal law for the geographic location where the equipment is installed.

**R403.8 Systems serving multiple dwelling units (Mandatory).** Systems serving multiple dwelling units shall comply with Sections C403 and C404 of the IECC—Commercial Provisions in lieu of Section R403.

**R403.9 Snow melt and ice system controls (Mandatory).** Snow- and ice-melting systems, supplied through energy service to the building, shall include automatic controls capable of shutting off the system when the pavement temperature is above 50°F (10°C), and no precipitation is falling and an automatic or manual control that will allow shutoff when the outdoor temperature is above 40°F (4.8°C).

TABLE R403.6.1  
MECHANICAL VENTILATION SYSTEM FAN EFFICACY

| FAN LOCATION           | AIR FLOW RATE MINIMUM (CFM) | MINIMUM EFFICACY (CFM/WATT) | AIR FLOW RATE MAXIMUM (CFM) |
|------------------------|-----------------------------|-----------------------------|-----------------------------|
| Range hoods            | Any                         | 2.8 cfm/watt                | Any                         |
| In-line fan            | Any                         | 2.8 cfm/watt                | Any                         |
| Bathroom, utility room | 10                          | 1.4 cfm/watt                | < 90                        |
| Bathroom, utility room | 90                          | 2.8 cfm/watt                | Any                         |

For SI: 1 cfm = 28.3 L/min.

**TABLE R405.5.2(2)**  
**DEFAULT DISTRIBUTION SYSTEM EFFICIENCIES FOR PROPOSED DESIGNS<sup>a</sup>**

| DISTRIBUTION SYSTEM CONFIGURATION AND CONDITION                                  | FORCED AIR SYSTEMS | HYDRONIC SYSTEMS <sup>b</sup> |
|--|--------------------|-------------------------------|
| Distribution system components located in unconditioned space                    | —                  | 0.95                          |
| Untested distribution systems entirely located in conditioned space <sup>c</sup> | 0.88               | 1                             |
| “Ductless” systems <sup>d</sup>  | 1                  | —                             |

For SI: 1 cubic foot per minute = 0.47 L/s, 1 square foot = 0.093 m<sup>2</sup>, 1 pound per square inch = 6895 Pa, 1 inch water gauge = 1250 Pa.

- a. Default values given by this table are for untested distribution systems, which must still meet minimum requirements for duct system insulation.
- b. Hydronic systems shall mean those systems that distribute heating and cooling energy directly to individual spaces using liquids pumped through closed-loop piping and that do not depend on ducted, forced airflow to maintain space temperatures.
- c. Entire system in conditioned space shall mean that no component of the distribution system, including the air-handler unit, is located outside of the conditioned space.
- d. Ductless systems shall be allowed to have forced airflow across a coil but shall not have any ducted airflow external to the manufacturer’s air-handler enclosure.

**SECTION R406**  
**ENERGY RATING INDEX**  
**COMPLIANCE ALTERNATIVE**

**R406.1 Scope.** This section establishes criteria for compliance using an Energy Rating Index (ERI) analysis.

**R406.2 Mandatory requirements.** Compliance with this section requires that the mandatory provisions identified in Sections R401.2 and R403.5.3 be met. The building thermal envelope shall be greater than or equal to levels of efficiency and Solar Heat Gain Coefficient in Table 402.1.2 or 402.1.4 of the 2009 *International Energy Conservation Code*.

**Exception:** Supply and return ducts not completely inside the building thermal envelope shall be insulated to a minimum of R-6.

**R406.3 Energy Rating Index.** The Energy Rating Index (ERI) shall be a numerical integer value that is based on a linear scale constructed such that the *ERI reference design* has an Index value of 100 and a *residential building* that uses no net purchased energy has an Index value of 0. Each integer value on the scale shall represent a 1-percent change in the total energy use of the rated design relative to the total energy use of the *ERI reference design*. The ERI shall consider all energy used in the *residential building*.

**R406.3.1 ERI reference design.** The *ERI reference design* shall be configured such that it meets the minimum requirements of the 2006 *International Energy Conservation Code* prescriptive requirements.

The proposed *residential building* shall be shown to have an annual total normalized modified load less than or equal to the annual total loads of the *ERI reference design*.

**R406.4 ERI-based compliance.** Compliance based on an ERI analysis requires that the *rated design* be shown to have an ERI less than or equal to the appropriate value listed in Table R406.4 when compared to the *ERI reference design*.

**R406.5 Verification by approved agency.** Verification of compliance with Section R406 shall be completed by an *approved* third party.

**R406.6 Documentation.** Documentation of the software used to determine the ERI and the parameters for the residential building shall be in accordance with Sections R406.6.1 through R406.6.3.

**R406.6.1 Compliance software tools.** Documentation verifying that the methods and accuracy of the compliance software tools conform to the provisions of this section shall be provided to the *code official*.

**R406.6.2 Compliance report.** Compliance software tools shall generate a report that documents that the ERI of the *rated design* complies with Sections R406.3 and R406.4. The compliance documentation shall include the following information:

1. Address or other identification of the residential building.
2. An inspection checklist documenting the building component characteristics of the *rated design*. The inspection checklist shall show results for both the *ERI reference design* and the *rated design*, and shall document all inputs entered by the user necessary to reproduce the results.
3. Name of individual completing the compliance report.
4. Name and version of the compliance software tool.

**Exception:** Multiple orientations. Where an otherwise identical building model is offered in multiple orientations, compliance for any orientation shall be permitted by documenting that the building meets the performance requirements in each of the four (north, east, south and west) cardinal orientations.

**TABLE R406.4**  
**MAXIMUM ENERGY RATING INDEX**

| CLIMATE ZONE | ENERGY RATING INDEX |
|--------------|---------------------|
| 1            | 52                  |
| 2            | 52                  |
| 3            | 65                  |
| 4            | 54                  |
| 5            | 69                  |
| 6            | 68                  |
| 7            | 53                  |
| 8            | 53                  |